

ANNEX: THE NATIONAL RULES FOR THE UKRAINIAN PARTNER

I. GENERAL PROVISIONS

This Annex as the integral part of the ‘Collaborative Researcher Project on Mental Health in Ukraine During and After Wartime’ Joint Call Text (Annex to the RCN-NRFU Lead Agency Agreement signed on DATE) is altogether the basis for the NRFU’s competitive selection of projects (as part of the ‘Collaborative Researcher Project on Mental Health in Ukraine During and After Wartime’ Joint Call competitive selection) while setting forth the more specific and operational procedures. The provisions hereof apply to the NRFU’s competitive selection procedure directly and to the Ukrainian partners only. Norwegian readers must be aware that the terminology in this Annex differs from the call text to be familiar to Ukrainian partner.

II. REQUIREMENTS TO UKRAINIAN APPLICANTS*

*The requirements are formulated in accordance with the [Agreement on Reforming Research Assessment](#)

Who can be an eligible project partner?

In order to be eligible for funding under the ‘Collaborative Researcher Project on Mental Health in Ukraine During and After Wartime’ Joint Call (hereinafter referred to as the Joint Call), Ukrainian partner shall comply with the terms and conditions presented in the NRFU project regulations such as listed below.

- A partner (legal entity) may only be an institution of higher education (in accordance with the meaning of the Law of Ukraine ‘On higher education’) or a research institution (in accordance with the meaning of the Law of Ukraine ‘On scientific and scientific-technical activities’) of state ownership and any legal form registered and functioning in Ukraine according to the national legislation. The legal entity shall be the one that meet the terms and conditions of this Joint Call, and that has expressed a desire to participate in the Joint Call, and has jointly submitted the proposal and documents required for participation in the Joint Call with the Norwegian partner, who agree to conduct research on their own premises, as well as to approve the related research results.
- Legal entities whose founders, beneficial owners and participants are citizens of the Russian Federation and the Republic of Belarus, as well as legal entities operating in the temporarily occupied territories of Ukraine, may not enter the Joint Call. The restriction on entry into this

Joint Call also applies to legal entities subject to restrictive measures (sanctions) imposed by the National Security and Defense Council of Ukraine.

In order to be eligible for funding under the Joint Call, a **Ukrainian project author (a researcher from the Ukrainian partner's side, indicated in the application submitted to the Joint Call)** shall comply with the terms and conditions presented in the NRFU project regulations such as listed below.

- The principal investigator (PI) shall have a research degree obtained (at least 5 years before the date of the proposal submission) or recognized in Ukraine (obtained at least 5 years before the date of the proposal submission) and work at the main place of employment in the legal entity which is a Ukrainian partner. The PI shall also have scientific publications in periodicals, in particular those indexed by Scopus or Web of Science, and/or scientific monographs/monograph chapters in the relevant field of knowledge. Other project authors shall work/study (as postgraduate) in the same legal entity. It is allowed to involve support staff (up to 2 persons), including (but not limited to) higher education students, engineers, laboratory assistants in the project implementation.
- Other project authors shall also have a research degree (except for the ones who are postgraduate students) obtained or recognized in Ukraine, scientific publications in the field related to the project topic (except for the ones who are postgraduate students).
- Project authors (implementers) may not be employees of the Directorate of the NRFU, members of the Scientific Council of the NRFU and members of the Supervisory Board of the NRFU.
- The number of project authors required to participate in the Joint Call on behalf of the Ukrainian partner is a minimum of 3 persons, including at least one early-career researcher (necessarily possessing a research degree obtained or recognized in Ukraine or enjoying the status of a postgraduate student) and at least 2 researchers possessing a research degree obtained (at least 5 years before the date of the proposal submission) or recognized in Ukraine (obtained at least 5 years before the date of the proposal submission).
- Project authors under valid restrictive measures applied to them, as defined by the provisions of the Restrictive Measures that may be applied to project authors and/or project implementers whose grant support was terminated by the decision of the Scientific Council of the National Research Foundation of Ukraine due to improper implementation of the research and developments agreement and/or based on the results of the quality assessment of project implementation and publication/dissemination of the results' may not enter the Joint Call.

- When submitting a proposal for the Joint Call, all project authors shall comply with the 'Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine', which include a section on the prevention of cooperation with representatives of the aggressor country and temporarily occupied territories.
- The PI and other project authors may be implementers of another successful project under another call of the NRFU, the funding of which is ongoing or has not started due to the incomplete procedure for concluding an agreement with the grantee. At the same time, the PI cannot be presented in the proposal for this Joint Call if they are already the PI of a project that was successful in another call of the NRFU, the funding of which is ongoing or has not been started due to the incomplete procedure for concluding an agreement with the grantee.
- The restriction on entry into this Joint Call also applies to persons (project authors) subject to restrictive measures (sanctions) imposed by the National Security and Defense Council of Ukraine.

III. PROJECT FUNDING

Funds transferred to the Ukrainian partner from the project owner may be used exclusively to implement the research project and in accordance with the guidelines set forward in the call text and this Annex.

Expenses under all items must be justified.

What types of costs are eligible for funding?

1) Payroll and indirect expenses

Use this category only for:

- Payroll (direct R&D personnel):

PI, other project authors, and support staff directly engaged in R&D (as established by employment contract or civil-law agreement), in line with the institution's remuneration system and Ukrainian law. All project authors (including the PI) must be named. We require that all project authors (including the PI) and the support staff shall be employed by the partner organization.

Caps: Maximum monthly remuneration per person may not exceed 10x the Ukrainian minimum wage applicable at the submission deadline (minimum wage from 01.01.2026: UAH 8,647). Established caps: PI up to 10x, authors with a recognised research degree up to 8x, authors without a research degree up to 4x, support staff up to 2x.

Documentation: Show monthly employment (months) for the PI, each project author and support staff; provide a salary breakdown that maps to the institution's pay system.

- Indirect expenses (overhead):

Indirect expenses are capped at **5%** of the Ukrainian partner's total budget and within each interim stage.

Any non-personnel direct R&D costs must not be included under indirect costs; these are to be included under "Other operating expenses".

2) Other operating expenses

Use this category for all non-personnel direct R&D costs, such as:

- Materials and consumables necessary for the project.
- Minor research equipment (only if necessary and not otherwise available; justify need).
- Access costs (databases, libraries, software licenses).
- Services directly linked to the R&D (translations, equipment rental, data collection services, etc.).
- Travel and accommodation in accordance with Ukrainian legislation and project needs. Visiting researcher stays, including abroad, are eligible when scientifically justified and shall follow the Joint Call's budget rules and rates; costs are recorded under "Other operating expenses".
- Conference fees where scientifically justified, and the project participants have an active role (e.g. presentation of project proposal).
- Expenses for scientific publications (if necessary).
- Subcontracting (if needed), specified and justified.

What costs are ineligible for funding?

Grant funds **cannot** cover:

- payment for intermediary services/fees;
- preparation of the application submitted to the Joint Call;
- any costs not directly related to the project implementation;

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- activities already financed from other sources;
- economic activity (included, but not limited to routine service provision/business-as-usual clinical operations);
- indirect expenses exceeding **5%** of the Ukrainian partner's total budget and within each interim stage;
- purchase of equipment and facilities manufactured or supplied by an enterprise/organization included in the sanctions lists in accordance with the decision of the National Security and Defense Council of Ukraine;
- expenses related to exchange rate fluctuations, as well as any fines, penalties, compensation for losses (penalties, court costs incurred as a result of breaches of contractual obligations, etc.);
- interest or other payments on debt obligations;
- VAT and other taxes that may be compensated by the state;
- commercialization of research results and launch of mass production;
- currency risk insurance.

IV. Specific national rules for the proposal and project implementation

- During the implementation of the project, the PI and other project implementers shall be expected to be based and working in Ukraine.
- When implementing the project, all project implementers shall comply with the Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine which include a section on the prevention of cooperation with representatives of the aggressor country and temporarily occupied territories.
- Ukrainian partners must take all available measures to promote the project to the general public and the results obtained during its implementation.
- Ukrainian project implementers cannot work in a foreign institution at their main place of work.

- A project submitted for participation in this Joint Call cannot be simultaneously submitted for participation in another call to be funded.
- Proposals which contain information that constitutes a state secret (in accordance with the Law of Ukraine 'On State Secrets') shall not be accepted for consideration at the Joint Call. The fact of the absence of information constituting a state secret in the proposal materials shall be indicated in the consent of the Ukrainian partner, signed by its head.

Documents for participation in the Joint Call

After the deadline for the submission of the proposals to [the MyRCN webportal](#), the Ukrainian partners shall submit documents listed in this section directly to the NRFU to nrfu@nrfu.org.ua within 3 working days. The NRFU will not consider documents that are submitted by Ukrainian partners to the NRFU before the deadline for submission of joint applications to the RCN. The Ukrainian partners (legal entities) shall submit the respective documents with the accompanying letters duly signed by the heads of the legal entities.

Documents to be submitted to the NRFU by a Ukrainian partner:

- 1) Consent of each project author (for the PI and for other authors) to the implementation of the project (in Ukrainian, in accordance with the approved template);
- 2) Consent of the Ukrainian partner to the implementation of the project signed by the head of the legal entity (the fact of the absence of information constituting a state secret in the proposal materials must be indicated in this consent) (in Ukrainian, in accordance with the approved template);
- 3) Certificate from the place of work of the PI with a **mandatory** indication that he/she works at the main place of work in the legal entity that is Ukrainian partner (in Ukrainian, in accordance with the recommended template. NOTE: the certificate must contain the phrase '**AT THE MAIN PLACE OF EMPLOYMENT**');
- 4) **Every** Ukrainian researcher's CV in English in accordance with the template provided in the Call text;
- 5) Amount of funding by individual cost items for the Ukrainian partner (in Ukrainian in accordance with the approved template);
- 6) Project description that is part of the application submitted to the MyRCN webportal (in English) containing no personal data of the Norwegian individuals participating in the Joint Call on the Norwegian partner's side.

V. ELIGIBILITY CHECK (NATIONAL CHECK FOR COMPLIANCE WITH FORMAL REQUIREMENTS)

The eligibility check (national check for compliance with formal requirements) of proposals is carried out in two stages: preliminary and final. The preliminary check is carried out within 10 working days from the moment the last documents are received by the NRFU from the Ukrainian partner.

If, during the preliminary check, it is established that the documents submitted by the applicant do not contain the information specified in this Annex as an integral part of Call text, the NRFU shall notify the applicant by electronic means of communication of the need to submit the clarified information within three working days from the date of notification.

After the deadline for submitting clarified information, the NRFU shall, within 10 working days, conduct a final check of the documents submitted by the applicant for compliance with formal requirements, taking into account such information.

Within five working days after the deadline for the final check of proposals for compliance with formal requirements, the NRFU shall notify the RCN in electronic form of the proposals that have been found eligible and ineligible.

Grounds for non-eligibility of proposals based on the results of the NRFU's check for compliance with formal requirements:

- absence of Ukrainian partner's documents listed in this Annex;
- absence or incomplete provision of information in the documents submitted by Ukrainian partner in accordance with this Annex;
- inconsistency of the information provided in the documents submitted by Ukrainian partner with the requirements set forth in this Annex, including the fact that the project authors (except for postgraduate students) have a research degree awarded or recognized in Ukraine;
- inconsistency of the research group with the requirements to its composition;
- the qualification level of the Project PI and other project implementers does not meet the criteria set out in this Annex;
- the Project PI and/or other project authors is/are included in more than one application submitted to this Joint Call;
- the Project PI mentioned in the application is the Project PI of another project that was successful in another call of the NRFU, the funding of which is ongoing or has not started due to the incomplete procedure for concluding an agreement with the grantee;
- the project authors mentioned in the application are implementers of more than one successful project under another call of the NRFU, the funding of which is ongoing or has not started due to the incomplete procedure for concluding an agreement with the grantee;
- execution of documents submitted for participation in the Joint Call in non-compliance with the procedure or form established by this Annex or the requirements for execution of documents established by the Ukrainian legislation;

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- exceeding the ratio of indirect costs to total direct costs, as set by the terms of the Joint Call, both overall and for each stage separately, from the permissible limit (which is 5% of the Ukrainian partner's budget);
- exceeding the recommended maximum level of remuneration for project authors and support staff as specified in this Annex;
- violation of the requirements for restrictions on the participation in this Joint Call of natural persons and/or legal entities subject to restrictive measures (sanctions) of the National Security and Defense Council of Ukraine;
- the validity of restrictive measures applied to the PI and/or other project implementers, as defined by the provisions of the Restrictive Measures that may be applied to project authors and/or project implementers whose grant support was terminated by the decision of the Scientific Council of the National Research Foundation of Ukraine due to improper implementation of the research and developments agreement and/or based on the results of the quality assessment of project implementation and publication/dissemination of the results;
- detection of false information in the proposal and documents submitted by the partner;
- inconsistency of the submitted project with the Regulations on compliance with the principles of scientific ethics and prevention of conflicts of interest during competitive selection, scientific and technical expertise of projects and consideration of applications for grant support submitted to the National Research Foundation of Ukraine in the areas of grant support of the National Research Foundation of Ukraine.

VI. REPORTING TO THE NRFU

The reporting documents listed in this section shall follow the templates established by the Scientific Council of the NRFU.

After completion of each yearly intermediate stage (besides the final one) of project implementation specified in the collaboration agreement to be concluded between the Norwegian partner and Ukrainian partner, the Ukrainian partner submits to the NRFU in electronic form and in one paper exemplar a report on the interim results of the project implementation.

After analysis of the report, the Scientific Council of the NRFU decides whether to approve or not approve the respective report. Approval of the report is the basis for the decision of the Scientific Council of the NRFU to recommend the RCN to continue grant support of the project. Should the report not be approved by the Scientific Council of the NRFU, the latter shall recommend the RCN terminate grant support for the project.

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After the completion of the project, the Ukrainian partner submits to the NRFU in electronic form and in one paper exemplar a final report on its implementation with a description of the research result obtained.

Information on the results of the project implementation (the final report) is posted on the official website of the NRFU for free access in the form of open data within five working days in accordance with the Law of Ukraine 'On Access to Public Information'. In the structure of the final report, the abstract is submitted in Ukrainian and English.

The project implementers are personally responsible for the results of the project implementation.

Based on the results of the assessment of the quality of project implementation and the publication or disclosure of its results/in the event of discovery of cooperation with representatives of the aggressor country and temporarily occupied territories - *Restrictive measures, which may be applied to authors of projects and/or implementers of projects whose grant support has been terminated by decision of the Scientific Council of the National Research Foundation of Ukraine due to improper fulfilment of the agreement for the performance of scientific research and development, and/or based on the results of the assessment of the quality of project implementation and publication/disclosure of results may be applied to the author of the project and/or its implementer by decision of the Scientific Council of the NRFU.*

TEMPLATE SECTION

1. Consent of the project authors to its implementation (for the PI and for other authors);
2. Consent of the Ukrainian partner to the implementation of the project;
3. Certificate from the place of employment of the PI;
4. Amount of funding by individual cost items for the Ukrainian partner.