

## The Research Council's definition and specification of the concept "research institution".

The Research Council of Norway grants research funding to the following groups of recipients:

- A. Research institutions and various constellations of these.
- B. Private companies that perform research activities themselves, various constellations of such companies, and organisations that represent private companies or their users when these are conducting research projects in partnership with qualified researchers.
- C. Entities in the public sector that perform research activities themselves, various constellations of such entities, and organisations that represent the public sector or their users when these are conducting research projects in partnership with qualified researchers.
- D. Fellowship-holders (recipients of individual research fellowships).

Points 1-4 below explain in greater detail how the Research Council of Norway interprets the concept *research institution*. This specification applies only to research institutions. Private companies, entities in the public sector other than research institutions, fellowship-holders and other recipients listed under B-D above are not included in this definition.

1. The groups of institutions listed under 1.1 – 1.7, and specified in greater detail in attachment 1, are defined as research institutions without further qualification. The list is meant as a guide and is not necessarily exhaustive. These entities may have subsidiary entities which also perform R&D activities, and which are therefore also interpreted to be research institutions.
  - 1.1. *Universities, specialised university colleges and university colleges accredited at the institution level by the Norwegian Agency for Quality Assurance in Education (NOKUT), cf. item 1.1-1.3 of the attachment.*
  - 1.2. *Research institutes encompassed by the Norwegian guidelines for public basic funding of independent research institutes, cf. items 2.1 – 2.4 of the attachment.*
  - 1.3. *Health trusts/hospitals with legally mandated research and development tasks, and private, non-profit hospitals that are that are encompassed by the national system for measuring research activity under the Ministry of Health and Care Services, cf. item 3 of the attachment.*
  - 1.4. *Independent research institutes other than those encompassed by the Norwegian guidelines for public basic funding of independent research institutes, cf. item 4 of the attachment.*
  - 1.5. *Museums that conduct research activities, cf. item 5 of the attachment.*
  - 1.6. *Other public institutions that conduct research activities, cf. item 6 of the attachment.*
  - 1.7. *Nordic research-performing institutions, cf. item 7 of the attachment.*
2. Entities that are not included under point 1 must satisfy the following requirements to be approved as a research institution:
  - 2.1. *The entity must be registered in the Central Coordinating Register for Legal Entities and be assigned a business enterprise organisation number.*

- 2.2. *The performance of R&D activity must be listed as one of the entity's objectives in its statutes.*
  - 2.3. *The entity's R&D services must be of considerable magnitude and available on the open market, with at least 5 (five) R&D man-years used on externally financed activities per annum.*
  - 2.4. *The entity must be able to document that its personnel are regularly published in journals or by publishing houses employing peer review practices, and/or that its personnel are involved in systematic and open dissemination of technology and knowledge, for instance through participation in teaching and/or doctoral-level supervisory activities at universities and university colleges.*
  - 2.5. *The entity's objectives must be targeted towards the common good, with all profits reinvested in the entity's activity, i.e. it must be stated in the entity's statutes that the entity does not pay out dividends. The entity may also not provide direct or indirect gains to its owner or any other closely involved individuals.*
3. A research institution's subsidiary company (assigned an independent business enterprise organisation number) is considered to be *a separate entity and will be assessed on the basis of the criteria listed under point 2.*
  4. The Research Council may further limit which research institutions are eligible for funding from specific instruments. It is not permitted for the same institution (as defined by its business enterprise organisation number) to act simultaneously as a company in one context and a research institution in another.

#### **Qualification as a "research institution"**

To assess whether an institution qualifies as a research institution, the Research Council needs to be sent the following information:

- the institution's bye-laws/statutes;
- annual accounts for the past three fiscal years, including the auditor's report;
- formal certificate of registration from the Register of Business Enterprises in Brønnøysund;
- list of employees who have performed R&D in the past three years;
- list of publications for the last three years for current and former employees;
- overview of any student supervisory activities, etc., when relevant;
- overview over R&D projects carried out for external parties the last three years, including information about funders and funding amount;
- description of how the institution's R&D services are made available on the open market;
- description of the institution's objectives and how these are of benefit to the public, including whether all profits are reinvested in institutional activities and whether the owner or other closely related parties are privy to direct or indirect gains.

This information should be sent to [post@forskningsradet.no](mailto:post@forskningsradet.no). Please write "FoU-utvalget" in the subject field.

## **Attachment 1: List over research institutions**

The list below provides an overview over institutions which are automatically defined as research institutions in accordance with the Research Council's criteria. Subsidiary entities to these institutions are also automatically defined as research institutions. This list is not exhaustive, and other institutions may be defined as research institutions in accordance with the established criteria.

### **1. Universities and university colleges**

#### **1.1 Universities**

The Norwegian University of Science and Technology (NTNU)  
The University of Bergen  
The University of Nordland  
The University of Oslo  
The University of Tromsø  
The University of Stavanger  
Norwegian University of Life Sciences (UMB)  
The University of Agder

#### **1.2 Specialised University Colleges**

The Oslo School of Architecture and Design  
BI - Norwegian School of Management  
School of Mission and Theology  
NHH Norwegian School of Economics  
The Norwegian School of Sport Sciences  
Norwegian Academy of Music  
Norwegian School of Veterinary Science  
MF - Norwegian School of Theology  
Molde University College - Specialized University in Logistics

#### **1.3 University Colleges**

Ansgar College and Theological Seminary  
Diakonhjemmet University College  
Queen Maud University College  
The Norwegian Defence University College (NDUC)  
Forsvarets ingeniørhøgskole (The Norwegian Defence University College Engineering Programme)  
Haraldsplass Deaconess University College  
Bergen University College  
Buskerud University College  
Finnmark University College  
Gjøvik University College  
Harstad University College  
Hedmark University College  
Lillehammer University College  
Narvik University College  
Nesna University College  
Nord-Trøndelag University College  
Oslo and Akershus University College of Applied Science  
Sogn og Fjordane University College

Stord/Haugesund University College  
Sør-Trøndelag University College  
Telemark University College  
Vestfold University College  
Volda University College  
Østfold University College  
Aalesund University College  
Høgskolen Campus Kristiania (Campus Kristiania University College)  
Bergen Academy of Art and Design  
Oslo National Academy of the Arts  
Krigsskolen (Norwegian Military Academy)  
Lovisenberg Diaconal University College  
Luftkrigsskolen (Norwegian Air Force Academy)  
The Norwegian School of Information Technology - NITH  
NLA University College  
Norwegian Police University College  
Sámi University College  
Sjøkrigsskolen (Norwegian Naval Academy)

## **2. Research institutes encompassed by the Norwegian guidelines for public basic funding of independent research institutes.**

### **2.1 Environmental research institutes**

CICERO - Center for International Climate and Environmental Research – Oslo  
Nansen Environmental and Remote Sensing Center  
Norwegian Institute for Urban and Regional Research  
Norwegian Institute for Cultural Heritage Research  
Norwegian Institute for Air Research  
Norwegian Institute for Nature Research  
Norwegian Institute for Water Research  
Institute of Transport Economics

### **2.2 Primary industry research institutes**

Bioforsk - Norwegian Institute for Agricultural and Environmental Research  
Nofima – Norwegian Institute of Food, Fisheries and Aquaculture Research  
Norwegian Agricultural Economics Research Institute  
Norwegian Forest and Landscape Institute  
Centre for Rural Research  
SINTEF Fisheries and Aquaculture  
National Veterinary Institute

### **2.3 Social science research institutes**

Agder Research  
Work Research Institute  
Chr. Michelsen Institute  
Fafø Research Foundation  
Fridtjof Nansen Institute  
PRIO - International Peace Research Institute, Oslo  
Institute for Social Research  
Møreforskning

NIFU -Nordic Institute for Studies in Innovation, Research and Education  
Nordland Research Institute  
NOVA - Norwegian Social Research  
NUPI - Norwegian Institute of International Affairs  
Northern Research Institute Alta AS  
NTNU Social Research  
Institute for Research in Economics and Business Administration (SNF)  
Ragnar Frisch Centre for Economic Research  
Telemark Research Institute  
Telemark Educational Research, Notodden  
Trøndelag R&D Institute  
Western Norway Research Institute  
Ostfold Research Co  
Eastern Norway Research Institute  
Uni Rokkan Centre

#### **2.4 Technical-industrial research institutes**

Christian Michelsen Research AS (CMR)  
Institute for Energy Technology (IFE)  
International Research Institute of Stavanger (IRIS)  
Norwegian Geotechnical Institute (NGI)  
The Norwegian Seismic Array (NORSAR)  
Norwegian Marine Technology Research Institute (MARINTEK)  
Norwegian Computing Center  
Northern Research Institute Narvik AS  
Northern Research Institute Tromsø AS  
SINTEF Energy Research  
SINTEF Petroleum Research  
The Foundation for Scientific and Industrial Research at the Norwegian Institute of  
Technology (SINTEF)  
Telemark Technological Research and Development Centre (Tel-Tek)

#### **3. Health trusts/hospitals with legally mandated research and development tasks and private, non-profit hospitals that are encompassed by the national system for measuring research activity under the Ministry of Health and Care Services**

Akershus University Hospital  
Betanien Hospital  
Diakonhjemmet Hospital  
Haraldsplass Deaconess Hospital  
Haugesund Sanitetsforenings Revmatismesykehus AS (Haugesund Sanitetsforening  
Rheumatism Hospital AS)  
Helgeland Hospital Trust  
Bergen Hospital Trust  
Finnmark Hospital Trust  
Fonna Hospital Trust  
Førde Hospital Trust  
Central Norway Regional Health Authority  
Nordmøre and Romsdal Hospital Trust  
Northern Norway Regional Health Authority  
Nord-Trøndelag Hospital Trust  
Stavanger University Hospital

Sunnmøre Hospital Trust  
South-Eastern Norway Regional Health Authority  
Western Norway Regional Health Authority  
Jæren distriktpspsykiatriske senter (Jæren District Psychiatric Treatment Centre)  
Lovisenberg Deaconess Hospital  
Martina Hansens Hospital  
Modum Bad  
NKS Olaviken, Hospital for Old Age Psychiatry  
Nordland Hospital Trust  
Oslo University Hospital  
Occupational Rehabilitation Centre in Rauland (AiR)  
Revmatismesykehuset AS (Rheumatism Hospital AS)  
Rusbehandling Midt-Norge Trust (The Resource Center for Drug and Alcohol Issues in Central Norway)  
Sollvi Psychiatric Hospital  
St. Olav's Hospital Trust  
Sunnaas Hospital  
Central Norway Pharmaceutical Trust  
Innlandet Hospital Trust  
Telemark Hospital Trust  
Vestfold Hospital Trust  
Østfold Hospital Trust  
Sørlandet Hospital Trust  
University Hospital of North Norway Trust  
Vestre Viken Hospital Trust

#### **4. Independent research institutes other than those encompassed by the Norwegian guidelines for public basic funding of independent research institutes**

Labour Movement Archives and Library  
Norwegian Centre for Child Behavioral Development Ltd  
Centre for Advanced Study  
Norwegian Nobel Institute  
Institute of Aviation Medicine  
Norwegian Defence Research Establishment  
GenØk - Centre for Biosafety  
Institute of Marine Research  
Cancer Registry of Norway, Institute of Population-Based Cancer Research  
The Research Institute of the Norwegian Medical Association  
National Institute of Nutrition and Seafood Research  
Norwegian Knowledge Centre for the Health Services  
Norwegian Centre for Violence and Traumatic Stress Studies  
Norwegian Agricultural Extension Service  
Norwegian Institute of Local History  
Norwegian Institute of Wood Technology  
Paper and Fibre Research Institute  
Center for Studies of Holocaust and Religious Minorities  
Centre for Economic Research at NTNU  
Simula Research Laboratory  
Simula School of Research and Innovation  
SINTEF NBL  
National Institute of Occupational Health (STAMI)

National Institute for Consumer Research (SIFO)  
Norwegian Institute for Alcohol and Drug Research (SIRUS)  
Centre for Church Research  
Polytec  
Research Foundation TISIP  
Teknova  
Uni Research Ltd

#### **5. Museums that conduct research activities**

Armed Forces Museum  
The National Museum of Art, Architecture and Design  
The Norwegian Museum of Cultural History  
Norwegian Maritime Museum

#### **6. Other public institutions that conduct research activities**

Norwegian Meteorological Institute  
Norwegian Institute of Public Health  
Central Bank of Norway, Research  
Geological Survey of Norway  
Norwegian Water Resources and Energy Directorate  
Norwegian Social Science Data Services  
Norwegian Polar Institute  
National Archives of Norway  
Norwegian Radiation Protection Authority  
Statistics Norway, Research Department

#### **7. Nordic research-performing institutions**

Nordic research-performing institutions financed by the Nordic Council of Ministers or research institutions funded by the authorities of at least three Nordic countries, including Norway.

This list has been quality assured in consultation with the Secretariat to the Nordic Council of Ministers, and is part of the administrative document *Søknadsbehandling FOU, document number C-1-5*.

#### **Institutions**

Nordic Center for Spatial Development (Nordregio)  
The Nordic Institute of Dental Materials (NIOM)  
The Nordic Genetic Resource Center (NordGen)  
Nordic School of Public Health (NHV)  
Nordic Centre for Welfare and Social Issues (NVC)

#### **Cooperating bodies, programmes and other partners**

Nordic Institute of Asian Studies (NIAS)  
Nordic Institute for Theoretical Physics (Nordita)  
Nordic Volcanological Center (NORDVULK)  
Scandinavian Institute of Maritime Law (NIfS)  
Sámi University College (NSI)  
Nordic Center for Spatial Development (Nordregio)