

## Forord

Norges forskningsråd evaluerer Simula Research Laboratory A/S på oppdrag fra Kunnskapsdepartementet. Evalueringen består av to devalueringer. Dette er den første rapporten, som tar for seg den faglige kvaliteten ved Simula. Merk at denne ennå ikke er behandlet av Forskningsrådets styrende organer. Evalueringen er utført av en ekspertgruppe bestående av

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Den andre rapporten, som evaluerer Simula i lys av at det er et relativt nytt konsept i det norske FoU-systemet, er under utarbeidelse og er planlagt ferdigstilt i begynnelsen av november.

Når begge rapportene forligger, vil de behandles i Forskningsrådets styrende organer i desember 2009, og ett sett med anbefalinger angående Simulas framtidige organisering og finansiering vil oversendes Kunnskapsdepartementet.

Divisjonsdirektør  
Anders Hanneborg  
Sign.

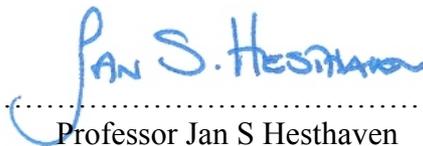
Divisjon for vitenskap  
Norges forskningsråd

## To the Research Council of Norway

The members of the 2009 Evaluation Committee reviewing the Simula Research Laboratory are pleased to submit the enclosed report on this date, July 8, 2009. The views expressed in this report are the unanimous opinion of the members of the Evaluation Committee. The members of the committee are in full accord with regard to the assessment, recommendations, and conclusions stated in the report.



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# 1. Executive summary and recommendations

The Evaluation Committee is impressed by the consistency and overall high quality of the activities of the Simula Research Laboratory, and the growth and increasing impact it has seen since the last evaluation. The organization has matured to become a vibrant research culture and continues to operate as a highly effective research unit with a well-established and increasing international recognition. We commend the quality of the self-evaluation document as a thorough and accurate assessment of the current state of the laboratory with a nice balance of awareness of current strengths and weaknesses.

The committee finds substantial improvements in all the three research departments, and a growing diversity of activities while maintaining research focus. The committee also finds it encouraging that the organization has worked hard, and with focus, to develop more educational and business oriented activities as would be expected by a maturing research organization.

The Simula Research Laboratory offers a unique environment that emphasizes and promotes basic research while still covering the broader landscape from postgraduate teaching to commercialization. The organizational and funding framework allows basic research to take center stage, without substantial constraints from the pursuit of external funding typically found in industrial research institutes, or from the heavier teaching commitments found in University environments. This emphasis gives the laboratory the opportunity to be highly productive in its chosen focus areas. With finite resources available, the organization can only cover a limited number of such areas, and the strategic choice of these areas is of vital importance.

Prior to entering into a more detailed discussion, it may be helpful to highlight a few observations, all of which are later discussed in more detail. These are areas of a more general strategic nature and will shape much of the discussion and subsequent recommendations.

- At the departmental level, the original leadership model, based on research active leaders, is being stretched to its limits. Furthermore, an increasing fragmentation of some departmental research activities is beginning to dilute the original vision of long-term directed research, focusing on large-scale problems.
- The focus of the research activities at the Simula Research Laboratory continues to be centred on the three original research areas, all selected at the establishment of the Laboratory. A more dynamic model appears to be needed to secure the long-term health of the research enterprise. Such a model must include an ongoing evaluation of research areas and periodic national competitions to ensure the chosen research areas remain the most appropriate activity areas, maximizing the benefit to the Norwegian society.
- The establishment of SSRI introduces a new and educational entity into Norwegian science and it is clear that SSRI has the potential to significantly impact Norwegian science education and its broader influence. SSRI could well grow to become a national resource for science education and take on a role as a coordinating center across several universities engaged in research activities shared with the Simula Research Laboratory. Such opportunities should be explored and strengthened.

The uniqueness of the research environment is in many ways also the most fragile element as Simula transitions from an emerging research environment to a mature research organization. The evaluation committee *recommends* that Simula leadership, in close relationship with central stakeholders, strive to find a way of maintaining and revitalizing the organization in the spirit of its original vision. Without this, the evaluation committee sees a danger of losing this uniqueness with the unfortunate outcome of Simula becoming a more traditional research environment.

The Evaluation Committee applauds the development of a longer-term strategic plan (Strategy 2007-2015), and sees clear evidence of its implementation. However, the evaluation committee does feel that the Simula Research Laboratory's strategy is somewhat static. While it might be appropriate to continue with the current directions at present, we would have liked to see a more critical discussion of the likely evolution of the targeted areas on a longer time-scale.

The Evaluation Committee *recommends* that the Simula Research Laboratory revisits its strategy development with the aim of developing a more dynamic tool and process for its implementation. It is important that this strategic plan takes a long-term view of the laboratory as a whole and consider renewal of the research fields without being bound unduly by the current departmental structure in basic research, or the choice of focus areas made during the formation of the Simula Research Laboratory.

The Simula Research Laboratory is based on a strong relationship with the University of Oslo that has been formalized and further strengthened during this evaluation period. This is clearly to the benefit of both institutions. However, for the long-term health and uniqueness of the Simula Research Laboratory, it is important to broaden its base and to develop similar relationships with other Norwegian universities. Such partnerships will provide new opportunities for the development of research and educational activities, as well as to offer an expanded base for recruitment. The evaluation committee *recommends* that at least one additional formalized partnership with another Norwegian university be developed and implemented.

The Evaluation Committee strongly supports the creation and continued implementation of SSRI as a core educational component of the Simula Research Laboratory. The formalized structure of SSRI has enabled the development of innovative new courses, improved mentoring, advising, and community building among the students. Such initiatives are essential for an internationally oriented research and educational organization. The evaluation committee *recommends* that the development and expansion of SSRI continue, and that nationally taught courses based on Simula's specific expertise be developed. Such initiatives could be implemented as intensive courses or as regular distant learning classes. This provides a unique and exciting opportunity to increase visibility and interaction with the Norwegian research community and develop innovative new means of science education.

The Simula Research Laboratory has created a healthy PhD program in which 35 students are currently participating. We applaud steps taken in order to ensure diversity in gender and educational background. The number of PhD students remains below the target of 45, and the Evaluation Committee *recommends* the implementation of this target. However, we caution that a continued expansion beyond this target may weaken the original intention of the Simula Research Laboratory as a long-term research focused activity.

During this evaluation period, the Simula Research Laboratory has strengthened its international collaboration, and has taken steps towards the formation of genuine and formalized international partnerships. However, the Evaluation Committee feels that these collaborations have not yet reached full maturity and extent. One potential implication of this is seen in the absence of participation in EU funded projects. The Evaluation Committee **recommends** that these activities be continued and strengthened with the aim of increasing international visibility, and with the explicit goal of participation in successful European project consortia funded through the EU Framework Program.

This period has provided strong evidence that the Simula Research Laboratory directly benefits Norwegian industry by producing a stream of highly qualified PhDs being placed in industry, and by engaging industrial collaborations with key Norwegian companies. It is encouraging that these collaborations are found throughout the Simula Research Laboratory and the Evaluation Committee supports that such collaborations continue and expand.

The Simula Research Laboratory leadership expressed a concern about the inability to use academic titles in the recruitment and the retainment of research leaders. The Evaluation Committee **recommends** exploring more thoroughly the existing opportunities in Norwegian universities such as Professor II appointments. A longer-term possibility could be the establishment of a Research Professor title.

The Evaluation Committee **recommends** strongly and without reservations that the Simula Research Laboratory be funded for the next 5 years.

The Evaluation Committee has noted the concerns expressed in the self-evaluation document regarding the lack of a long-term funding commitment to the Simula Research Laboratory. While the Evaluation Committee appreciates that budgetary concerns and long-term funding models may be outside of its mandate, it nevertheless wishes to express its views on these concerns, as it believes that a clarification of these particular issues is closely tied to the long-term scientific health of the Simula Research Laboratory. In doing so, the Evaluation Committee will largely support the basic recommendations made in the last evaluation report.

The Evaluation Committee fully appreciates that an uncertain or lacking long-term commitment may adversely impact staff morale, influence stability and retainment, and lead to substantial distractions from the research goals. It agrees that this has the potential to be a real threat to the long-term health of the Simula Research Laboratory. It would indeed have a severe impact on the organization if key personnel were to be lost due to this uncertainty. To ensure long-term continuity and organizational health, the Evaluation Committee **recommends** that the Simula Research Laboratory be placed on a rolling 5+5 year contractual agreement. In line with the recommendation of a more dynamic strategic plan, an evaluation should be performed at the midpoint of these 10 years, examining performance and plans for an additional ten years. If the evaluation is sufficiently positive, the contract should be extended so that the laboratory never has less than a five-year planning horizon.

The Simula Research Laboratory leadership has also expressed concerns about the decreasing total shares of the budget being funded by the core funding and the impact this has on the core research activities. The Evaluation Committee shares this concern, as it collides with the original vision of the Simula Research Laboratory as a research organization with limited dependencies on externally funded, short-term activities. The committee **recommends** that steps being taken in order to reassure core funding commensurate with the level and original vision of the Simula Research Laboratory.

## **2. Simula Research Laboratory evaluation**

### **2.1 Research assessment**

#### ***2.1.1 Level of research***

The Simula Research Laboratory has continued to consistently achieve a high level of quality research output. The “Scientific Computing” department has maintained its high level of visibility, increased its activity and impact, and developed extensive collaborations with outside partners, including major industrial partners. The department is excellent in every aspect. The “Software Engineering” department has improved considerably and has complemented past activities by initiating and developing a new research area. This department can likewise be judged as excellent. The “Networks and Distributed Systems” department has improved its overall level. While certain projects are excellent, others have not reached their full potential. The department as a whole is very good.

Over all, the upward trend in the quality of research activities is very encouraging and bodes well for the future. The publication rates in all departments have increased significantly since 2004. This is reflected in the maturity level of the research activities and in the overall significant growth of the Simula Research Laboratory since the last evaluation.

#### ***2.1.2 Importance of research fields***

The three areas covered by the departments remain important and continue to develop as research fields internationally. The departments worked hard, and with focus, to find ways to position themselves internationally within their research area in order to maximize their impact. The departments remain focused in their areas of expertise, although there are some early signs of fragmentation resulting from special staffing or funding opportunities. The strong growth of the “Scientific Computing” department is commensurate with the general international trend.

While the research areas remain important and active, a future challenge will be to evaluate whether these three departments and research focus remain the most appropriate research areas in which to direct the significant resources of the Simula Research Laboratory.

#### ***2.1.3 National and international scientific collaboration***

The Simula Research Laboratory has strong national and international ties with collaborators from leading universities and research institutes. It has high visibility partly due to several internationally recognized senior research leaders, and there is clear evidence that the Simula Research Laboratory is operating and collaborating as an equal partner with many of the best institutions in the field. The strong relationship with the University of Oslo has continued to develop during this evaluation period, and it has been formalized to the benefit of both research and education.

Relationships with several other Norwegian and international universities exist; although of a less intensive nature. It remains a challenge and an opportunity to develop closer relationships with additional partners in order to strengthen the research and educational network of the Simula Research Laboratory.

#### ***2.1.4 Participation in European Framework Programmes***

In agreement with the Simula Research Laboratory and its leadership, the Evaluation Committee is disappointed with the very low level of participation and success rate in the

European Framework Program. Recent activities show the awareness of this shortcoming, and the recent contracting of a consulting company to support proposal development is a positive step. Stronger relations and partnerships with European institutions would further facilitate the formation of a successful consortium. This issue must be a priority in the future development of the Simula Research Laboratory.

### ***2.1.5 Contribution to education at MSc and PhD levels***

Compared to the situation in 2004, Simula has made impressive improvements in the education of Master and PhD students. This is largely due to the creation of the SSRI, but also related to the significant increase in the external funding of PhD students.

The formalized structure of SSRI has enabled the development of innovative new courses, improved mentoring and advising, and community building among the students. Such initiatives are essential for an internationally oriented research and educational organization. The committee strongly supports the ongoing development and future expansion of nationally taught courses based on the Simula Research Laboratory's specific expertise. Such activities can significantly increase the impact of the Simula Research Laboratory within the national universities and industrial partners. The initiatives can take several forms, including intensive summer/winter school, distance learning courses, and the formation of dual-advisor models requiring equal participation of researchers from the Simula Research Laboratory and University/industry partners in PhD education efforts.

The committee applauds that out of the current 35 PhD students there are 9 women and 15 students with a foreign background. The number of PhD students remains below the goal of 45 PhD students set by the Simula Research Laboratory. In order to reach this number, additional funding is required.

The Simula Research Laboratory leadership expresses an interest in attracting a higher number of Master students, but finds it difficult to reach this target. The Evaluation Committee feels that SSRI has not sufficiently reached out to Universities outside of Oslo. In order to successfully overcome the challenge of temporarily relocating students, SSRI may need to offer incentives and increase attractiveness and visibility at national Universities. This may require additional resources.

While the Evaluation Committee supports a moderate growth in the number of Master and PhD students, we caution that a continued expansion may weaken the original vision of Simula as a long-term research driven activity.

### ***2.1.6 Attractiveness***

The range of visitors to the Simula Research Laboratory provides evidence that the academic environment is attractive. We believe that the amount of joint work reported makes it clear that the Simula Research Laboratory is regarded internationally as a productive and attractive research partner. The attractiveness of the Simula Research Laboratory environment is clearly demonstrated by the recruitment of four international mid-career or senior researchers and project leaders.

The Evaluation Committee suggests that a more formalized and publicly announced visitor program should be initiated to further increase visibility and the number of international visitors. Financial support for such activities may be secured through European internationalization and mobility programs.

### ***2.1.7 Relevance to Norwegian industry and society***

This evaluation period has provided strong evidence that the activities at the Simula Research Laboratory directly benefits Norwegian industry in a number of ways. By producing a stream of high quality PhDs subsequently placed in industry, it helps to lift the general quality and skill level of the workforce. Engaging industrial collaborations helps to formulate and solve new problems, and to introduce new ideas into the industry increases competitiveness.

The most visible example of this is the close and extensive collaboration with StatoilHydro. However, the development of other recent collaborations is encouraging and highlights that this is not an exception. The examples of collaboration with Telenor and DNV emphasize that such industrial collaborations are involving all departments of the Simula Research Laboratory.

### ***2.1.8 Innovation and business establishment***

The Evaluation Committee is pleased to see that steps have been taken to support innovation and business development. Given the size of the Simula Research Laboratory, the achieved output in terms of spin-offs, as well as of their development, is reasonable. A longer-term strategic plan for business establishment and exit strategies has not been developed and would require substantial new financial and managerial resources. It is questionable whether the Simula Research Laboratory should develop this to a larger extent given the finite resources and the strategic research driven goals of the Simula Research Laboratory.

### ***2.1.9 Research plan and strategy***

The previous Evaluation Committee expressed the following: “The current strategy concentrates on consolidation and completion of the original vision of the three departments. This is quite understandable, since the Simula Research Laboratory is still completing its initial steps, and so the direct consequences of them loom large in the management’s thoughts. However, we believe that the laboratory needs to think in terms of a changing portfolio of projects and interests, and it is not too soon to start addressing the intellectual renewal process.”

We share this view, and in light of increasing maturity of Simula, we believe that this is becoming an issue of growing concern. In a long-term scenario, even the departmental structure and the chosen research fields must become more dynamic to enable the introduction of new emerging research areas, including deemphasize or entirely eliminate existing research activities within the Simula Research Laboratory.

The Evaluation Committee urges the Simula Research Laboratory leadership, in close interaction with the scientific advisory board and the Research Council of Norway, to begin a discussion of these issues with the goal to define a framework for the implementation of a long-term, dynamic department structure and scientific strategic plan. This must be flexible and open-minded enough to include all aspects of the laboratories and its activities.

## **2.2 Management assessment**

### ***2.2.1 Recruitment***

The Evaluation Committee applauds the successful recruitment of internationally recognized research leaders as well as PhD students and post-doctoral researchers with international backgrounds. In view of the long-term health of the research activities, the committee cautions against the temptation of internally recruited researchers without international exposure for permanent research positions.

The Evaluation Committee encourages the Simula Research Laboratory to explore the employment of senior researchers on leave from Norwegian Universities in line with what is currently done with the University of Oslo. This would lead to an increased influx of new ideas and decrease the potential volatility associated with the current very close association with the University of Oslo.

### ***2.2.2 Department organisation and research leadership***

The original model for department organization with research driven leaders is showing signs of a break-down. This is caused by several factors. One is a substantial growth of one department now with close to 50 active researchers. Another is an internal personal conflict resulting in a management-driven solution. A third reason is that the environment with research, applications, and educational activities makes the whole organization more heterogeneous.

The Evaluation Committee suggests that the department leadership model should be revisited. One possible model to consider for the basic research departments is a dual leadership model with clearly separated responsibilities in scientific and managerial activities, albeit with a clear emphasis on a science driven leadership. It may be advantageous to consider a more formalized implementation of deputy department leaders to ensure leadership continuity, and to enable an improved balance for department leaders between administrative and scientific duties.

The Evaluation Committee favors recent initiatives to formalize management training of the senior leadership, but encourages the development of initiatives to ensure leadership continuity.

## **3. Research department evaluation**

In the following, we will present a more detailed discussion of the three main research departments currently at the Simula Research Laboratory. This also contains background for a number of department specific recommendations discussed previously.

### **3.1. Networks and Distributed Systems Department**

The Networks and Distributed Systems Department is structured into four different projects namely ICON, RELAY, REPAIR and RWN. The ICON project is focusing on scalable interconnection networks for high-performance computers and systems. The RELAY project investigates quality-of-service (QoS) and quality-of-experience (QoE) in distributed systems, in particular, multimedia systems over the Internet. The REPAIR project addresses the problem of reliability of the Internet by improving recovery and repair mechanisms on the routing level. The issue of resilience and QoS in wireless networks is investigated in the Resilient Wireless Networks (RWN) project by looking into cognitive radio and cross-layer approaches.

#### ***3.1.1 Assessment of department's scientific contributions***

The main scientific achievements of the ICON project have been in the area of topology-agnostic routing in interconnection networks to achieve high robustness and performance. The ICON project has, in an impressive way, produced both high-quality publications, e.g., in IEEE Transactions, and research results that have been relevant for practical applications resulting in various take-ups of the Simula Research Laboratory developed by industrial

companies. Moreover, the Sunrise project, funded 50 % by Sun Microsystems, will ensure the industry-oriented research approach in the near future. Topics that may become more relevant for ICON in the future are virtualization and cloud computing. Overall, the research quality of ICON continues to be excellent during this evaluation period. ICON has a clear strategy and a vision for the future.

The RELAY project has been transferred from the University of Oslo to the Simula Research Laboratory during this evaluation period. The common goal of the various research projects is to optimize the quality of communication in networked multimedia applications. The publication activity does not reach the level of the ICON project, but several good conference publications (e.g., IEEE Infocom, NOSSDAV) resulted from the RELAY project. More emphasis on high-quality journals and conferences should be encouraged to increase impact and visibility. RELAY has attracted a very good number of externally funded projects (mainly by RCN Verdikt), which has allowed a significant increase of the project staff size and project diversity. The work on optimizing TCP for streaming applications is a good example of high-quality research that has interesting industrial applications. The SimTel activity between Simula and Telenor is another example of joint research with industry partners. The Lividi spin-off is a direct result from RELAY project activities as well. Within RELAY, many different activities are performed ranging from TCP optimizations via middleware for multimedia systems, to multi-core support for multi-media applications. The strategy, vision, and scientific focus are less clearly defined than by ICON. Overall, the RELAY project can be judged as very good.

The research goals of the REPAIR project are much more focused. REPAIR emerged from the former VINNER project. It is addressing resilience in the Internet by investigating recovery and repair mechanisms at the routing protocol level. Despite the small size in terms of staff, the project has an impressive publication record during the evaluation period, e.g., papers in IEEE Transactions, IEEE Infocom, and ACM Conext. Research results have been transferred to a spin-off company called Resiliens. Future activities aim to investigate resilience in overlay networks; although this topic may have already been addressed quite well by the international research community. It should also be considered whether more fundamental research questions in the context of Future Internet research programs should be introduced. This may also suggest a focus on more long-term research questions and addressing clean-slate Internet research. Due to the excellent scientific output, the quality of the project can be considered as very good.

Despite the recommendation of the previous evaluation to focus on two projects in the Networks and Distributed Systems Department, a fourth project called RWN (Resilient Wireless Networks) has been formed. Based on the previous work of the RWN project leader, an impressive publication record has already been achieved including many journal publications, IEEE Globecom and ICC conference papers. The project needs to strengthen its industrial liaisons. A good basis is the collaboration with Telenor on cognitive radio issues. The vision for the future seems to focus on cognitive and cross-layer approaches and integrating sensor networks with wireless communication networks. Whereas current research activities are mainly driven by analysis and simulation, real implementations to validate feasibility of concepts may likely be required in the future. This would require more test-bed activities such as an in-house wireless test-bed. Due to the strong publication record the project can be considered as very good from an overall perspective.

Current networks are increasingly consisting of both wireless and wired sub-networks. A common department-wide topic of interest seems to be reliability and the robustness of (wired/wireless) network environments. A stronger cooperation across the various projects

should be able to exploit synergies in a better way. Currently, the various projects are performing research work rather independently from each other.

With one excellent and three very good projects, the Networks and Distributed Systems Department can be considered as performing very well overall.

### ***3.1.2 Adequacy between production and financing***

Overall, the Networks and Distributed Systems Department has a very good research output in terms of publications and technology transfer. It has attracted several new projects funded by external resources (RCN Verdikt, industry). The Evaluation Committee appreciates the focus on CORE-ranked conferences; although care should be taken not to overestimate the CORE recommendations. Quantity and quality of publications from the Networks and Distributed Systems Department have improved significantly compared to the previous evaluation. Several department members have been very active in research community services such as technical program committees and conference organizations. The department increased the number of graduated Master students, while the number of graduated PhD students continues to have some potential for further increase to reach a target of one graduated Ph.D. student per year and senior researcher.

### ***3.1.3 International cooperation***

Although all the projects have good international visibility by journal publications and active conference participation, international collaboration is an issue that requires further improvements. This includes the establishment of European projects, but also active participation in international working groups, e.g., COST actions, research fora etc. Sabbatical and visiting researcher programs in both directions should be strengthened.

### ***3.1.4 Recruitment***

The recruitment of qualified people has clearly been successful. New international senior researchers such as Yan Zhang have been recruited. There has been a total increase of foreign senior researchers and post-doctoral fellows to four. Improved international visibility will further improve the situation. There is currently no female post-doctoral fellow or senior researcher in the Networks and Distributed Systems Department.

### ***3.1.5 Balance between categories of employees***

The overall balance between categories of employees in the department is reasonable. There are currently five senior researchers (including those employed by the SimTel project) and five post-doctoral fellows. In some projects, improvements are possible in particular at the PhD student and post-doctoral fellow level. The REPAIR project does not have a senior research leader. However, the post-doctoral fellow is highly productive in terms of research output. Post-doctoral fellows are missing in the RELAY project. This is compensated by a higher number of senior researchers there. In total the department has 15 PhD students.

### ***3.1.6 Department organization***

The different projects are somewhat unbalanced in terms of size and diversity of research topics. While ICON, REPAIR and RWN have a strong and concise focus on a particular research topic, RELAY appears as a collection of many different research activities. The links between the projects remain weak, and the research seems to be performed in a rather independent way without benefitting from many possible synergies between projects. Grouping the projects in the Networks and Distributed Systems Department seems to be organizationally motivated, rather than through the existence of common research interests in line with the overall vision of the Simula Research Laboratory. Due to the increasing importance of the Internet Protocol (IP) for interconnecting distributed high-performance systems and components, and the emergence of cloud computing where computing resources

are interconnected via the Internet, stronger thematic links between ICON and REPAIR should emerge. It is, however, likely that similar opportunities for synergies and collaborations exists between other projects within the department.

### ***3.1.7. Scientific leadership***

The leadership of the Networks and Distributed Systems Department has changed in 2008. The current leader is an experienced researcher in the field. The integration of the different activities and projects within the Networks and Distributed Systems Department, the exploitation of synergies between the projects, and the development of a common vision for the overall department, is a big challenge for the near-term future. The REPAIR project is not led by a senior researcher but by a post-doctoral fellow. This could be considered as a problem for supervising PhD students. An additional complication in the leadership situation is that the former department leader is now the director of basic research but remains an active researcher within the department. While this is an unusual arrangement it can work as long as responsibilities are clearly defined.

### ***3.1.8 Research plan and strategy***

The lack of a clear and common overall long-term strategy for all projects of the Networks and Distributed Systems Department is emerging as a major challenge for the future. The Evaluation Committee recommends identifying synergies and common strengths across the various research projects in order to develop a common long-term strategy.

Although the Simula Research Laboratory has as a goal to transfer research results into practical and industrial applications, one should not limit the focus to research problems that arise from concrete problems driven by applications and industry partners, but actively explore new basic research fields with the long-term potential for technology transfer. For example, the international research community is currently discussing the design of the Future Internet in international basic research programs, including clean-slate approaches. The Networks and Distributed Systems Department is well positioned to more actively contribute to such programs and developments. The Evaluation Committee recommends that the Networks and Distributed Systems Department address longer-term research questions as essential and substantial components of all projects.

The establishment of stronger international relations to other research groups and research projects should be a central goal for the future. This also includes the participation in international test-bed activities.

## **3.2. Scientific Computing Department**

The Scientific Computing Department has been an integral part of the Simula Research Laboratory since its inception, originating in a research group at the University of Oslo, then led by Aslak Tveito and Hans-Petter Langtangen, both of whom remain deeply involved in the Simula Research Laboratory as the managing director and the head of the Scientific Computing department, respectively. This long-term and sustained strong academic leadership continues to shape the research activities in the Department, and has allowed the department to focus on advancing its research activities. It also continues to develop the vision for the department.

Since the last evaluation, the department has undergone a substantial expansion in the number of researchers to 46; with 12 in part time appointments and with the number of research groups increasing to 7 identified research units. Substantial parts of this expansion have been driven by the successful application for a Norwegian Center of Excellence (CoE) lead by

Hans-Petter Langtangen, and by the development of a long-term research partnership with StatoilHydro.

### ***3.2.1 Assessment of department's scientific contributions.***

The primary scientific focus of the department continues to be on the building of complex mathematical and computational tools to efficiently and accurately solve important problems in the applied sciences and engineering areas of significant value to Norwegian industry. The potential for impact on Norwegian industry and research is substantial through the important education of computational scientists, and by lifting the international visibility of the quality of Norwegian led science.

Since the last evaluation, the Scientific Computing Department has expanded significantly both in size, and in the breath and depth of its research activities. In 2004, the primary focus of the department was biomedical modelling and in the development of robust and flexible software environments for solving partial differential equations. Apart from the specific application focus, a broader and more general focus is identified as the development and applications of computational methods for multi-scale, multi-physics problems. This remains a key research area of broad and deep importance across the applied sciences, life sciences, and engineering.

Not only has the department continued to maintain these research activities during the last 5-year period, but it has been able to expand these activities in both quality and quantity. A major driving force in this growth has been the successful application for a Center of Excellence (CoE), granted in 2007. This allowed an increased focus on biomedical computation and was further strengthened by the hiring of two senior researchers along with a substantial growth in postdoctoral fellows and PhD students.

In parallel with this substantial growth in biomedical computation and the development of open source software infrastructure/computational middleware, the department has undertaken a new geophysical modelling direction enabled by close and very fruitful research driven collaborations with StatoilHydro. The application focus is on computational geoscience, but the inherent multi-scale, multi-physics nature of such problems makes this new research direction less of a stretch than one may think in light of the past biomedical application focus. In other words, there is every reason to believe that this new research initiative can benefit from the ongoing extensive activities in biomedical modelling and computation, and that the cross-fertilization between researchers in the two groups may be of significant mutual benefit.

The Evaluation Committee was extremely impressed by the breath and depth of the research activities in the department, and by the clear evidence being presented, that the rapid expansion of the department over just a few years had not resulted in any dilution of the quality and quantity of the research. This is a clear sign of a strong scientific base of active and involved researchers, supported in the process by a well-qualified group of scientific leaders with a clear vision.

In the 2004 evaluation, the group was rated as excellent, and the Evaluation Committee finds that the scientific breath, depth, and overall quality of the department have been maintained and even strengthened further. It remains an excellent research department with a growing potential to significantly impact Norwegian industry in general, and the health and energy industry in particular. Furthermore, its high scientific level and strong leadership provides excellent international visibility to the department, the Simula Research Laboratory and Norwegian research in general, and likely offers increased opportunities for recruitment of students and researchers.

### ***3.2.2 Adequacy between production and financing***

During this evaluation period, the Scientific Computing Department has grown significantly in size, mainly due to the successful application of a 10-year Center of Excellence focused on Biomedical Computation, and the development of an intense research based-partnership with StatoilHydro, focusing on Computational Geophysics. Both of these contracts are still in their initial years of activity and the impact of the growth on the scientific production is just beginning to show, e.g., the number of published and accepted journal papers for 2009 is already on level with the production in past years, and it is reasonable to expect a substantial growth during the next years.

The production in the department is further increased by the successful application of two Outstanding Young Investigator (OYI) from the Research Council of Norway to project leaders Joakim Sundnes (2004) and Anders Logg (2006). Both of these young researchers have contributed substantially to the overall level of activity in the department.

The Evaluation Committee is impressed by the exceptionally high scientific production, including books(6), journal papers(84) and high-level conference contributions (44), as well as the dedication to many other scientific activities such as the teaching and advising of students, memberships of editorial committees (10), and the development of close research-based industrial partnerships and collaborations.

While the department has been highly successful in attracting substantial funding for new projects, the number of PhD students is still viewed by the Evaluation Committee as being low. It is recommended that funds be sought to address this, or that the use of current funds be reprioritized to the extent possible.

### ***3.2.3 International cooperation***

Due to the sustained excellence in research, the department enjoys significant international visibility. This results in strong international collaborations with scientific discipline leaders across the world and the formal association of some of these as adjunct research scientists. Furthermore, the department has a strong history of recruiting and retaining international postdoctoral and senior researchers, thereby increasing the potential for international outreach and impact.

A weak point of the department, indeed perhaps the only genuinely weak point in an otherwise excellent department, is its lack of internationally funded research activities, either through EU projects or through the formation of other international research partnerships. Given the scope, size, and visibility of the department, the Evaluation Committee finds this to be both surprising and disappointing. However, the committee also acknowledges that the Simula Research Laboratory as an organization is well aware of this shortcoming, and that several significant steps- have recently been taken to address this concern.

### ***3.2.4 Recruitment***

During this evaluation period, the department has made two successful hires at the senior level. One is Kirsan ten Tusscher, thereby significantly strengthening computational biology and adding expertise in systems biology, and the other being Anders Logg, adding substantial additional expertise in computational mathematics and software development. Both are excellent recruitments of international researchers, adding to the core activities and expertise in the department. The evaluation committee commends the department for pursuing excellence, rather than more narrow national interests in hiring senior researchers.

Additionally, the department has been successful in recruiting postdoctoral researchers with a broad international background, adding to the intellectual diversity and strength of the whole department.

The department has also had some reasonable success in the recruitment of MSc students with about 25 students having finished during this evaluation period. These students are, however, almost exclusively recruited from the University of Oslo. The Evaluation Committee finds this situation to be unfortunate, as a broader recruitment base would likely allow the department to increase the total enrolment to the benefit of the students, the department activities, and the Norwegian society as a whole.

### ***3.2.5 Balance between categories of employees***

Among the 35 full time scientific staff, there are approximately 9 senior researchers, 10 postdoctoral researchers, and 12 PhD students. Additionally, there are some scientific programmers and some administrative personnel as well as approximately 5 MSc students on an annual basis. While the number of senior and postdoctoral researchers is well balanced and the department is functioning well as a unit, the Evaluation Committee feels that the department should be able to successfully absorb and educate a larger number of MSc and PhD students. These will not only benefit from the stimulating environment in the department, but will also expand the impact of the Simula Research Laboratory to the benefit of Norwegian industry and research. While the committee appreciates that there are financial implications associated with a significant expansion of the number of PhD students, it recommends that such an expansion be given some priority. Furthermore, a focused expansion in the recruitment base to include other Norwegian universities should also allow for an increase in the recruitment of MSc students.

### ***3.2.6 Department organization***

The department is organized in 7 individual research groups of varying size with the largest having close to 10 members, and the smallest consisting of just 3 members. The larger groups are primarily focused around research activities driven by the Center of Excellence activities in biomedical simulation and flow solvers, and the more recent emphasis on computational geoscience. All project leaders report to the department head.

While all 7 projects are active and productive, it appears the smaller activities are driven largely by the interest of individual researchers, rather than being developed with an eye toward a larger common goal. The Evaluation Committee finds this to be of some concern, as this appears to contradict the foundational vision of the Simula Research Laboratory, formed to allow large groups of researchers to work collaboratively toward large-scale “Grand Challenge” problems through long-term directed research. The formation of smaller groups to accommodate individual research interests and activities not only dilutes the difference between the Simula Research Laboratory and more traditional university based research groups, but it also makes such research activities and investments volatile to even minor changes in staffing.

The Evaluation Committee was impressed with the strong interaction between the individual projects. However, the committee also believes that the Scientific Computing Department is approaching a size, and a level of activity and diversity, where the Simula Research Laboratory model of having research active leaders in a flat organization, is likely to become increasingly challenged as a successful model. The committee encourages the leadership to begin a discussion of suitable models to address this concern, including a focusing of the research through the elimination and absorption of smaller projects, deputizing the department, or a genuine split of the department.

### ***3.2.7 Scientific leadership***

The Scientific Computing Department has had some changes in senior leadership during this evaluation period during which the past head, Aslak Tveito, has assumed the role of managing director of the Simula Research Laboratory. He was first replaced by Joakim Sundnes until 2007 when the establishment of the Center of Excellence led to Hans-Petter Langtangen assuming the role as the department head. Langtangen has been involved since the formation of the Simula Research Laboratory and his seniority, high scientific productivity, and international visibility is likely to continue to provide a strong scientific leadership to the department.

### ***3.2.8 Research plan and strategy***

The short term research plans of the department are focused on expanding and solidifying the ongoing efforts. In particular, the research driven software development and its dissemination as an open source project. This is complemented by a continuation of the application of this infrastructure, to complex problems in biomedical and geophysical modelling. The emphasis will continue to be on longer-term research driven activities, rather than on short term, publication driven activities in line with the overall vision of the Simula Research Laboratory.

The department is well positioned to execute this short-term focus, and it has the potential to have a significant impact in some of these applications. However, it is increasing likely that a gap between more academic applications and those of truly realistic complexity will emerge due to the limited direct contact with application scientists so far. An indication of this is the low number of publications in medical journals, in spite of a substantial long-term effort in biomedical modelling. The Evaluation Committee is mindful that the department has worked hard during the last few years to increase direct collaborations with medical institutions and hospitals, and a growth in publication activity during the next period can be expected.

An indicated longer term goal of the department is a much more substantial move in this direction, moving from discipline specific modelling efforts to what can be characterized more broadly as computational science, encompassing a deep and fully integrated approach to modelling, simulation, and the application science. Such a change will likely drive the activities in the department away from the development of more fundamental computational and mathematical tools, and toward areas related to data-driven science, data-assimilation, uncertainty quantification and management, and the extensive validation of complex models. While these are natural and important directions of research, they also involve a significant digression from existing activities. It is unclear how the department is planning to address this concern without adding substantial new resources.

The Evaluation Committee recommends that more specific longer-term plans with rolling renewals be developed, including an ongoing evaluation of all research activities and projects. This would seem to be particularly important if the department leadership foresees a need to redirect substantial resources in the department, to transition toward a more general focus on computational science.

The Evaluation Committee wishes to emphasize the need of the department, and the Research Council of Norway, to be mindful of the emerging challenge of having to carefully balance long-term, high-risk fundamental research with short-term activities, most often funded through external contracts and industrial partnership. Both activities need to co-exist to maintain an attractive environment of cross-fertilization between the activities, and to ensure the successful infusion of deep research-based developments into large-scale complex applications. On the other hand, the shorter-term efforts are often easier to fund, but are more likely to yield limited results of a fundamental and lasting value. The unique qualities of the

Scientific Computing Department, and indeed, the Simula Research Laboratory itself hinges on maintaining this balance. The Evaluation Committee cautions that maintaining this balance likely requires ongoing and careful attention to all aspects of the research enterprise.

### **3.3 Software Engineering Department**

#### ***3.3.1 Assessment of department's scientific contribution.***

The Software Engineering Department at the Simula Research Laboratory was created in 2001 with a focus on empirical Software Engineering. The department chose to devote its resources to conduct large empirical studies with the goal of quantifying and understanding the effects of using various models, methods, techniques, tools and process models in different industrial situations.

The results of this strategy have resulted in a substantial pay-off during the last five years. Findings of the large empirical studies conducted by the department have brought out empirical evidence that has had a considerable impact on the entire software engineering community worldwide, both in academia and in the professional arena. The methodology applied to carry out these large experiments of various types has radically changed the views and expectations of the empirical software engineering researchers. The department is well known for its intensive use of controlled experiments, has advanced the state-of-the-art regarding realism and scale of empirical studies, and is regarded as a model by all other research groups that need to set such experiments.

The Evaluation Committee is impressed by the quality and value of the research, and considers the scientific contribution of the Software Engineering Department to be excellent. One measure of the importance and quality of results is that the scientific papers written by the department are readily accepted by the most prestigious journals such as IEEE TSE, IST, JSS, IEEE Software, etc. They are also frequently cited, e.g., the 5 most cited papers of the department are among the 20 most cited software engineering papers since 2002. Another valuable example of the Software Engineering Department is its ranking as third among 1361 institutions worldwide in the most recent assessment of systems and software engineering scholars and institutions.

The ultimate goal of software engineering research is to support practical software development. The department currently targets some specific areas in software engineering, specifically software cost estimation, evolution and maintenance of object-oriented software, testing and inspection, and model-driven development.

The Evaluation Committee considers that the interplay between empirical study and methodological research that characterizes the organization of researchers in the department is an excellent approach; a unique feature and a key reason for its success and strength. The committee encourages the group to maintain this approach in the future.

Finally, this evaluation period has provided strong evidence that the Software Engineering Department benefits Norwegian industry by providing research results that influence the work processes of the software industry. By educating software practitioners through a well attended annual seminar, and by engaging in collaborations with Norwegian companies, the Evaluation Committee strongly encourages that such collaborations continue and expand.

#### ***3.3.2 Adequacy between production and financing***

The department has conducted an impressive number of controlled experiments and other studies involving industrial participants. Since 2001, 262 companies from 24 countries;

consisting of 2730 professionals have participated in 154 experiments. The department is the first with extensive use of multi-country populations in software engineering experiments.

The department produced 47 journal papers during the evaluation period, hence doubling the production from 2001-2004.

These are exceptional achievements when considering the international impact of the findings of the experiments and the increase in the number and the quality of the publication. The international research community has acknowledged this excellence in many different ways; ranking of the Software Engineering Department as the 3<sup>rd</sup> among 1361 peer institutions, ranking two of the researchers as 1<sup>st</sup> and 4<sup>th</sup> best scholars, several 'best paper' awards, and several best cited papers.

The Evaluation Committee suggests that the department strives to increase its budget to extend its activity. This is particularly important, as the activity has, and will, continue to have a significant impact on the Norwegian software industry.

### ***3.3.3 International visibility***

The Software Engineering Department has significant international visibility, particularly in the empirical software engineering research community. They have established worldwide cooperation with the main research units conducting empirical research on software engineering. The department is an active member of ISERN, which is the primary network for empirical research on software engineering. The Evaluation Committee encourages the department to further strengthen international cooperation to establish stable and long-term relationships with research units abroad enabling the exchange of researchers and faculty, as well as PhD students. It also suggests an increased participation in EU projects, and encourages them to take the lead on a proposal to create an EU Centre of Excellence on Empirical Software Engineering.

### ***3.3.4 Recruitment***

The department has grown from 14 members in 2004 to 19 in 2009. The department recruited internationally known scientists-Lionel Briand, Dietmar Pfahl and Leo Moonen. This is likely to further increase the attractiveness of the department when recruiting international PhD students and postdoctoral researchers. Seven of the 18 full-time scientists were recruited internationally.

The Evaluation Committee applauds the international recruitment that demonstrates the visibility of the Simula Research Laboratory in general, and of the Software Engineering Department in particular. This is critical to bring new ideas, new cultural thinking, and possibly new research vision to the department.

The committee believes that the policy to employ professionals to manage the experimental studies is a good one; it avoids research leaders being distracted from their main research activity.

### ***3.3.5 Balance between categories of employees***

The group consists of 8 full-time researchers, 10 PhD students, 1 postdoctoral fellow and 1 visiting part-time researcher. Although not particularly large, the group has a good balance of employees and is of a sufficient critical mass. The committee suggests an increase in the number of Post-doctoral fellows and PhD students recruited internationally.

### ***3.3.6 Department organisation***

The department is organized around 4 projects/research groups that correspond to the

software engineering areas by analyzing the observed engineering practice proposing theories, models, methods and tools to improve the current practice. These groups are relatively small in size (5 people in average). The advantage is that the groups are focused with little administrative overhead. The disadvantage, however, is that the groups are vulnerable to minor changes in staffing. Given the relatively small size of the groups, it may be important to recruit new academic staff to maintain their continuing critical mass.

During the evaluation period, the testing group was created due to the recruitment of Lionel Briand. Testing and model-driven development are important and timely topics in software engineering to which the group can contribute significantly. This may require increasing the number of PhD students and recruiting postdoctoral fellows.

Some strategic planning is necessary to determine; which key research areas need focussing for the next forthcoming period; a possible new group to deal with new areas of software engineering; or the termination of some groups. Requirements Engineering was mentioned as a possible new subject, and the Evaluation Committee encourages the group to move in this direction. Requirements Engineering is substantially impacting the success of projects and the quality of the end product. Understanding and improving requirements engineering practice might have a significant impact on software development.

### ***3.3.7 Scientific leadership***

The original model for department organization was based on senior research driven leaders in the field to provide the long-term scientific vision and assuring its implementation in a directed manner. Due to an internal personal conflict in the Software Engineering Department, the model in place today is more of a management-driven solution. The Evaluation Committee wants to stress that the scientific production has not been affected by this problem. However, the committee is concerned by the change in the leadership model and suggests that this model be revisited. One possible model to consider is a dual leadership model with clearly separated responsibilities in scientific and managerial activities.

### ***3.3.8 Research plan and strategy***

The 2004 Evaluation Committee appreciated the way in which the research plan was presented as a “Grand Challenge”. While it is remarkable to notice that the goal has largely been attained, the department now appears to have entered into a consolidation phase to maintain the goals of conducting empirical studies, and to produce research results leading to substantial improvement of software development productivity and quality. This Evaluation Committee agrees that it might be appropriate to continue with the current directions at present. However, we would like to suggest that the department initiates a more critical discussion of the likely evolution of the target areas on a longer time-scale, and considers the possibility of a rolling research plan that takes into account the evolution of challenges in software engineering and adapts the project structure accordingly.

## **3.4 Research Application**

### ***3.4.1 Skills in planning exploitation***

The Simula Research Laboratory has developed its organization for the commercial application of the research since the last evaluation. A department for “Research Application” has been formed of which Simula Innovation is a part. The organization still appears to be under development, but has already had some positive instrumental effects for activities of the Simula Research Laboratory within this field. One example is the establishment of seven spin-offs. These seven units were helped in taking the first steps to become established commercial companies. Six are typical spin-offs, while the seventh, (Kalkulo AS), is

characterized by the separation of consulting activities that the Simula has become involved in. Some of these were with the industrial partners. The Simula Research Laboratory, has in this way, managed to initiate the difficult process of increasing its own ability to find direct commercialisation opportunities of research results. It is difficult because such processes are highly uncertain and influenced by several random factors.

More importantly is that the Simula Research Laboratory as a totality has become more systematically related to some advanced commercial users. This has taken place through the development of “close and strategic research relationships” with a number of key industrial collaborators within the Norwegian business world. StatoilHydro is the prime example, but Telenor and DNV are two other good examples. A positive feature with these relationships is that they involve different research groups within the Simula Research Laboratory. They are not concentrated in just one group or in one area. It is impressive that the Simula Research Laboratory has managed to establish and implement these relationships in a relatively short timeframe, and they will certainly stimulate the research activities in a positive way as long as they do not develop into pure consulting relationships. The separation from the commercial unit Kalkulo AS, that today is responsible for the commercial part of the StatoilHydro relationship, is an important long term organizational way of handling this issue. The most positive scenario is that the commercial users will become very advanced users forcing the researchers to formulate not just challenging, but also commercial interesting problems where different types of contexts are systematically built into the research models. Such a process may well result in the researchers attaining an even higher level of competence.

On the other hand, a negative scenario is that the Simula Research Laboratory over time becomes more economically dependent on these users, resulting in the research problems becoming too commercial, and thereby too short term based. Thus, Simula needs to develop its ability (a relationship model) to organize such cooperation in order to continuously vitalize and keep their research focused. This can not be done by a separate department but must include all participating parties. This is probably the most important long-term issue in relation to increased commercial exploitation of the research conducted at the Simula Research Laboratory.

Furthermore, it is now also time for the Simula Research Laboratory to develop a comprehensive and strategic picture of the number and the distribution of such relationships. It is certainly one of the best ways to successively become more involved within the Norwegian business network, and the commercial implications may become huge. One challenge from the point of view of the Simula Research Laboratory is to identify indicators of these commercial implications, as most of them are indirect and more or less impossible to measure through simple commercial indicators. One possibility can be to measure the activity that is created within the industrial partners (for example, man-year invested in these projects by the partners).

The Evaluation Committee supports the development of the Simula Research Laboratory based on research relationships as the main means for increasing research applications. The strategic goals should be formulated accordingly.

Financial resources for two types of activities important to the Simula Research Laboratory may be identified. One is for covering the research activities conducted in relation to commercial users, and the other to cover the development costs for single commercialization projects. The “research relationships” can be used for covering both of these expenses as exemplified by the StatoilHydro partnership in an interesting way. Such a relationship may

also help the Simula Research Laboratory to cover its own research costs. However, if this is emphasized too much it will drive these relationships in the wrong direction – they will become consulting relationships. Thus, it is more important that the external partners invest in the development of their own personnel to use research results, as well as invest in the development of different applications, i.e. the second type of activity. So far, this has worked in an excellent way; at least in the StatoilHydro case.

Raising financial resources for separate and stand-alone projects (risk capital for spin-offs) is a more specialized and unique task, and requires very specific and well developed business ideas. Up to now, the Simula Research Laboratory has managed to mobilize some extra resources from the Research Council of Norway to arrive at this situation. As a consequence, the resources used for the development of single products have been time invested by involved researchers/entrepreneurs in addition to the examples from the StatoilHydro relationship. It is questionable whether the Simula Research Laboratory, given its limited size, should try to become more than an interesting and valuable idea-producing partner for other business development agencies or venture companies.

### ***3.4.3 Marketing knowledge***

This can be divided into three different parts. The first and the most important is the knowledge required to develop close research relationships with advanced commercial users as described above. Here, the Simula Research Laboratory has demonstrated an excellent but mainly tacit ability. The next important step is to find a way to make this knowledge more explicit in order to increase the learning-by-doing.

The second part is the knowledge required to market commercial ideas in relation to investors or potential business partners. The activities during the last years indicate that the Simula Research Laboratory has at least reasonable skills, and these will successively increase through learning by doing. However, the Evaluation Committee suggests that learning could be enhanced and accelerated by increasing the experience exchange with similar organizations internationally. Today there are several similar organizations with ten to twenty years of experience.

The third type of marketing knowledge regards a specific field for each application. It can, for example, be medical equipment or an oil exploitation. The Simula Research Laboratory has little or no such knowledge and probably never will. It will always be reliant on business partners for this type of knowledge.

### ***3.4.4 Contact with investors***

The establishment of a special organization, and the hiring of professional personnel, has increased the ability of the Simula Research Laboratory to develop a suitable investor network. Still, the Simula Research Laboratory has a long way to go to attain an established network.

## **4. Research Education**

### **4.1 Goals for research education**

The activity within the education area has been substantially extended during this evaluation period. The number of active PhD students has increased from 24 to 36 with a goal of 45. One

major difficulty is the funding, as the cost for each student is approximately 2.4 million NOK. This is an issue that the funding authorities will need to consider for the next period. The Evaluation Committee supports the overall goal of 45 active PhD students. This seems to be a very reasonable target. It is also a realistic and attainable goal that will not hamper the major focus of the long term research focus.

## **4.2 Strategy for research education**

The Evaluation Committee finds the creation of SSRI to be a very good development. It has given Simula the needed organisational means to create a stimulating education environment within the Simula Research Laboratory, and at the same time, to become an identifiable partner for other educational units within Norway and internationally. The PhD students have a clear organizational entity, and it will facilitate that the educational process becomes more structured and hopefully also more efficient. Additionally, it is also providing a base from which the PhD program can continue to develop.

## **4.3 PhD program**

The development of SSRI has created opportunities to develop some special PhD courses. Currently, this activity has been oriented toward complementary fields, such as the presentation of research results, and the interface between scientific research and innovations. The Evaluation Committee suggests that this activity be expanded to include more central research courses where the Simula Research Laboratory can develop and distribute advanced PhD-courses within its core fields to several other research departments in Norway and abroad. Such activities could also include summer schools and other intensive activities. These activities can be used as a complementary way to identify and develop joint projects between the Simula Research Laboratory and other research departments.

## **4.4 The role of the PhD program**

The creation of SSRI has given the education field a substantial base within the Simula Research Laboratory, but remains in the development phase. There are several interesting development possibilities that the Simula Research Laboratory has to consider. One such possibility is to use new PhD students to explore new complementary research areas. Most of the PhD students will be recruited directly to the projects in the future, but there should also be opportunities to hire highly qualified students who, during the first year, develop their own projects in interaction with several senior researchers. This type of recruitment can be used to identify complementary or even alternative research problems, as well as to explore emerging research activities.

The PhD program is also a means for increasing the application of research results. An important accomplishment is when the doctors from the Simula Research Laboratory program today, at least to a certain extent, are finding interesting jobs in the industry. Here the developed research cooperation with industrial partners can play an important complementary role.

## **5. Evaluation Committee membership**

Professor Torsten Braun	University of Bern, Switzerland
Professor Jan S Hesthaven	Brown University, USA
Professor Håkan Håkansson	BI Norwegian School of Management, Norway
Professor Colette Rolland	University of Paris I, France

## **Appendix A: Mandate for the scientific evaluation of Simula Research Laboratory**

Simula Research Laboratory AS (Simula) was established in 2001 as a limited company with the Norwegian Government as the principal shareholder. The company is a not-for-profit, public utility enterprise. The company's objects are to engage in basic long-term research in selected areas of software and communications technology and, by so doing, to contribute to revitalisation and innovation in business and industry.

The objective of this evaluation is to give the Research Council of Norway an impartial and complete report on the activity at Simula. The evaluation will be used as a basis for determining the future funding, status and organization of the centre.

The evaluation of Simula has been split into two parts:

1. **The Scientific Evaluation:** An evaluation of the quality of the research conducted in the center
2. **The Concept Evaluation:** An evaluation of Simula as a new concept in the Norwegian R&D system

In order to define the boundary between the two evaluations, the mandate for the Scientific Evaluation is concentrated towards scientific issues and the scientific leadership at Simula. These issues are general in the sense that they are approximately the same for all research departments or research groups. In general, they are independent of how the department or group is organized, and usually independent of whether or not the department or group is a part of a larger research organization. Issues of relevance that do not belong in this setting shall be addressed in the Concept Evaluation.

The rest of this document specifies the mandate for the Scientific Evaluation.

The findings of the Scientific Evaluation should be presented in a written report. The evaluation should cover all parts of Simula including Basic Research, Research Application and Research Education. It should also cover:

- the scientific results achieved in the centre,
- the innovation results achieved as a result of the research at the centre,
- the scientific plans for future research at the centre,
- the international collaboration including participation in EU-projects,
- the research leadership,
- the policies guiding recruitment and handling of employees including aspects of gender equality,
- the organization of Simula with respect to the research done at the centre.

We specifically ask the committee to address the following issues:

### ***Simula Research Laboratory***

1. Does Simula conduct research at an international level?
2. Does the research at Simula address topics that are accepted as important internationally?
3. Is there a satisfactory degree of scientific cooperation between Simula and international and national research centres?

4. Is Simula's participation in the European Framework Programmes satisfactory?
5. Is Simula's contribution to education of MSc and PhDs in informatics satisfactory?
6. Does Simula appear to be an attractive research partner for the best researchers in Norway and internationally?
7. Is the research done at Simula relevant for Norwegian industry and society?
8. Has Simula worked actively to promote the establishment of businesses based on the research in the lab?
9. Comment on the research plans for the next five years.

### ***Basic Research***

1. Present an assessment of each department's scientific contribution.
2. Is the scientific production reasonably large in view of the available financial resources?
3. Does the department actively cooperate with international research groups?
4. Is the recruitment of scientists to the department satisfactory?
5. Is there a reasonable balance between various categories of employees; PhD students, postdocs, researchers and professors?
6. Is the department organised in a reasonable manner?
7. Is the scientific leadership working properly?
8. Comment on the research plans and strategy for each department.

### ***Research Application***

1. Does Simula possess the necessary skills to turn research into business?
2. Does Simula have the ability to obtain the necessary financial resources?
3. Does Simula have access to people with sufficient market knowledge?
4. Does Simula have good contact with investors?

### ***Research Education***

1. Does Simula have realistic goals for its research education activity?
2. Does Simula have a good strategy for achieving its goals with respect to research education?
3. Is the PhD program well defined?
4. Does the PhD program contribute to other Simula activities in a satisfactory manner?

The Scientific Evaluation should be based on:

- The research plan for Simula
- The evaluation of Simula from 2004
- Annual reports from Simula
- A self-evaluation from Simula including lists of scientific publications and the 5 most important publications from each of the research departments. An overview of the innovation and education activities is included as a part of the self-evaluation.
- A plan for the scientific activity in Simula for the next 5 years
- A site visit to Simula